THE STAGES OF CHANGE MODEL

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Cognitive-behavioral approaches to health change

The stages of change model

The trans-theoretical model of behavior change was originally developed by Prochaskaand DiClemente (1982) as a synthesis of 18 therapies describing the processes involved in eliciting and maintaining change.

It is commonly known as the stages of change model. Prochaska and DiClemente examined these different therapeutic approaches for common processes and suggested a new model of behavior change based on the following stages:

1Precontemplation: not intending to make any changes.
2Contemplation: considering a change.
3Preparation: making small changes.
4Action: actively engaging in a new behavior.
5Maintenance: sustaining the change over time.

An individual may move to the preparation stage and then back to the contemplation stage several times before progressing to the action stage.

When an individual has reached the maintenance stage, they may slip back to the contemplation stage over time.

The Stages of change model examines how the individual weighs up the costs and benefits of a particular behavior.

Individuals at different stages of change will differentially focus on either the costs of a behavior (e.g. stopping smoking will make me anxious in company) or the benefits of the behavior (e.g. stopping smoking will improve my health). For example, a smoker at the action (I have stopped smoking) and the maintenance (for four months) stages tend to focus on the favorable and positive feature of their behavior (I feel healthier because I have stopped smoking),

Smokers in the pre-contemplation stage tend to focus on the negative features of the behavior (it will make me anxious).

The stages of change model has been applied to several health-related behaviors, such as smoking, alcohol use, exercise and screening behavior.

Set of beliefs and behaviors at the different stages:

1**Precontemplation**: 'I am happy being a smoker and intend to continue smoking'.

2**Contemplation**: 'I have been coughing a lot recently, perhaps I should think about stopping smoking'.

3**Preparation**: 'I will stop going to the pub and will buy lower tar cigarettes'.

4Action: 'I have stopped smoking'.

5Maintenance: 'I have stopped smoking for four months now'. This individual, however, may well move back at times to believing that they will continue to smoke and may relapse (called the revolving door schema). The stages of change model is increasingly used both in research and as a basis to develop interventions that are tailored to the particular stage of the specific person concerned. For example, a smoker who has been identified as being at the preparation stage would receive a different intervention to one who was at the contemplation stage.

The model has recently been criticized for

The difficulty to determine whether behavior change occurs according to stages or along a continuum.

Researchers describe the difference between linear patterns between stages which are not consistent with a stage model and discontinuity patterns which are consistent.

The absence of qualitative differences between stages could either be due to the absence of stages or because the stages have not been correctly assessed and identified. Changes between stages may happen so quickly as to make the stages unimportant.

Interventions that have been based on the stages of change model may work because the individual believes that they are receiving special attention, rather than because of the effectiveness of the model per se. Most studies based on the stages of change model use cross-sectional designs to examine differences between different people at different stages of change. Such designs do not allow conclusions to be drawn about the role of different causal factors at the different stages (i.e. people at the preparation stage are driven forward by different factors than those at the contemplation stage).

Experimental and longitudinal studies are needed for any conclusions about causality to be valid. The concept of a 'stage' is not a simple one as it includes many variables: current behavior, quit attempts, intention to change and time since quitting. Perhaps these variables should be measured separately.

Thus, different aspects of health beliefs have been integrated into structured models of health beliefs and behavior. For simplicity, these models are often all called social cognition models as they regard cognitions as being shared by individuals within the same society.

